

12/29/00  
36853 U.S. PTO

01-02-01

A

PTO/SB/05 (8-00)

Please type or sign (+) inside this box →



Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Valid for use through 10/31/2002. OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		<b>Attorney Docket No.</b> 36968/198826	
		<b>First Named Inventor or Application Identifier</b> George A. Durden	
		<b>Title</b> Systems and Methods for Controlling and Managing Programming Content and Portions Thereof	
		<b>Express Mail Label No.</b> EL228367991US	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original, and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification Total Pages 31 (preferred arrangement as set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>		a. <input type="checkbox"/> Computer Readable Copy (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets] 7		b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> Paper</li></ul>	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages] 3 <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> New unexecuted (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with box 17 completed)</li><li>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, See 37 C.F.R. 1.63(d)(2) and 1.33(b).</li></ul>		c. <input type="checkbox"/> Statement verifying identity of above copies	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		<b>ACCOMPANYING APPLICATION PARTS</b>	
		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
		11. <input type="checkbox"/> English Translation Document (if applicable)	
		12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations	
		13. <input type="checkbox"/> Preliminary Amendment	
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)	
		16. <input type="checkbox"/> Other: _____	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: ____/____ Prior application information: Examiner _____ Group/Art Unit _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label 23370 or <input type="checkbox"/> Correspondence address below			
Name	John S. Pratt KILPATRICK STOCKTON LLP		
Address	1100 Peachtree Street Suite 2800		
City	Atlanta	State	GA
Country	U.S.A.	Zip Code	30309
Telephone	404.815.6500	Fax	404.815.6555
Name (Type)	Kyle M. Globerman	Reg. No.	46,730
Signature		Date	12/29/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor George A. Durden

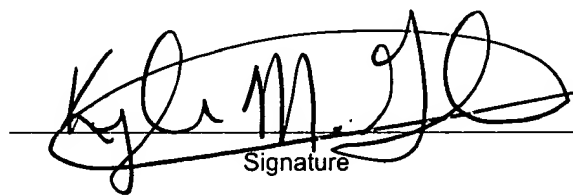
Title Systems and Methods for Controlling and Managing  
Programming Content and Portions Thereof

Atty Docket Number 36968/198826

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/29/00

Date



Signature

Kyle M. Globerman, Reg. No. 46,730

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

0975100-122000

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): George A. Durden, John R. Stefanik and Scott R. Swix

U.S. Application No.: Not Yet Assigned

Filed:


Attorney Docket No.: 36968/198826

For: Systems and Methods for Controlling and Managing Programming  
Content and Portions Thereof

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

**CERTIFICATE OF MAILING (37 CFR 1.10)**

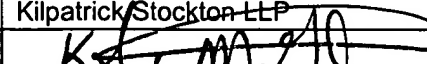
I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number EL228367991US addressed to Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

  
Donna Robinson

Date: December 29, 2000

<b>FEE TRANSMITTAL</b> <b>for FY 2001</b> <i>Patent fees are subject to annual revision</i> <i>Small Entity payments must be supported by a small entity statement, otherwise, large entity fees must be paid.</i> <i>See 37 C.F.R. §§ 1.27 and 1.28</i>		<b>Complete if Known</b>	
		<b>Application Number</b>	
		<b>Filing Date</b>	
		<b>First Named Inventor</b>	George A. Durden
		<b>Group / Art Unit</b>	
		<b>Examiner Name</b>	
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>Attorney Docket Number</b>	36968/198826

<b>METHOD OF PAYMENT (check one)</b>					<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																														
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">           11-0855            KILPATRICK STOCKTON LLP         </div> Deposit Account Number Deposit Account Name <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR. 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Code</th> <th>Entity Fee (\$)</th> <th>Small Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English Specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second Month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>155</td><td>Notice of appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional Applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>345</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td></tr> <tr><td colspan="6">Other fee (specify) _____</td></tr> <tr> <td colspan="5">*Reduced by Basic Filing Fee Paid</td> <td style="text-align: right;"> <b>SUBTOTAL (3)</b> (\$ 0)         </td> </tr> </tbody> </table>					Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English Specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840	113	1,840	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second Month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	300	219	155	Notice of appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional Applications		126	240	126	240	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))		179	710	279	345	Request for Continued Examination (RCE)		Other fee (specify) _____						Other fee (specify) _____						*Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3)</b> (\$ 0)
Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																															
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																															
139	130	139	130	Non-English Specification																																																																																																																																																																																															
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																															
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																															
113	1,840	113	1,840	Requesting publication of SIR after Examiner action																																																																																																																																																																																															
115	110	215	55	Extension for reply within first month																																																																																																																																																																																															
116	390	216	195	Extension for reply within second Month																																																																																																																																																																																															
117	890	217	445	Extension for reply within third month																																																																																																																																																																																															
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																																															
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																															
119	300	219	155	Notice of appeal																																																																																																																																																																																															
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																															
121	270	221	135	Request for oral hearing																																																																																																																																																																																															
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																															
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																															
141	1,240	241	620	Petition to revive - unintentional																																																																																																																																																																																															
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																															
143	440	243	220	Design issue fee																																																																																																																																																																																															
144	600	244	300	Plant issue fee																																																																																																																																																																																															
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																															
123	50	123	50	Petitions related to provisional Applications																																																																																																																																																																																															
126	240	126	240	Submission of Information Disclosure Statement																																																																																																																																																																																															
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																															
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																															
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																															
179	710	279	345	Request for Continued Examination (RCE)																																																																																																																																																																																															
Other fee (specify) _____																																																																																																																																																																																																			
Other fee (specify) _____																																																																																																																																																																																																			
*Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3)</b> (\$ 0)																																																																																																																																																																																														
<b>FEE CALCULATION</b>																																																																																																																																																																																																			
<b>1. BASIC FILING FEE</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Code</th> <th>Entity Fee (\$)</th> <th>Small Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>710</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table>					Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	710	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee																																																																																																																																																												
Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
101	710	201	355	Utility filing fee	710																																																																																																																																																																																														
106	320	206	160	Design filing fee																																																																																																																																																																																															
107	490	207	245	Plant filing fee																																																																																																																																																																																															
108	710	208	355	Reissue filing fee																																																																																																																																																																																															
114	150	214	75	Provisional filing fee																																																																																																																																																																																															
<b>SUBTOTAL (1)</b> (\$ 710)																																																																																																																																																																																																			
<b>2. EXTRA CLAIM FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>28</td> <td>-20**=</td> <td>8</td> <td>18</td> </tr> <tr> <td>4</td> <td>-3**=</td> <td>1</td> <td>80</td> </tr> <tr> <td></td> <td></td> <td>0</td> <td>0</td> </tr> </tbody> </table>					Total Claims	Extra Claims	Fee from below	Fee Paid	28	-20**=	8	18	4	-3**=	1	80			0	0																																																																																																																																																																															
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																
28	-20**=	8	18																																																																																																																																																																																																
4	-3**=	1	80																																																																																																																																																																																																
		0	0																																																																																																																																																																																																
<b>** or number previously paid, if greater; For Reissues, see below</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Code</th> <th>Entity Fee (\$)</th> <th>Small Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table>					Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																	
Large Code	Entity Fee (\$)	Small Code	Entity Fee (\$)	Fee Description																																																																																																																																																																																															
103	18	203	9	Claims in excess of 20																																																																																																																																																																																															
102	80	202	40	Independent claims in excess of 3																																																																																																																																																																																															
104	270	204	135	Multiple dependent claim, if not paid																																																																																																																																																																																															
109	80	209	40	**Reissue independent claims over original patent																																																																																																																																																																																															
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																															
<b>SUBTOTAL (2)</b> (\$ 934)																																																																																																																																																																																																			

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or printed Name	Kyle M. Globerman Kilpatrick/Stockton LLP	Reg. No. (Attorney/Agent)	46,730
Signature		Customer No. 23370	Telephone (404) 815-6140
		Date	12/29/00